BEFORE THE BOARD OF MEDICAL EXAMINERS

IN THE STATE OF ARIZONA

In the Matter of

BRENT SANDERS, M.D.

Holder of License No. **6754**For the Practice of Medicine
In the State of Arizona.

Case No. MD-01-0066

CONSENT AGREEMENT FOR A LETTER OF REPRIMAND AND PROBATION

CONSENT AGREEMENT

By mutual agreement and understanding, between the Arizona Board of Medical Examiners ("Board") and Brent Sanders, M.D. ("Respondent"), the parties agreed to the following disposition of this matter at the Board's public meeting on May 1, 2002.

- 1. Respondent acknowledges that he has read and understands this Consent Agreement and the stipulated Findings of Fact, Conclusions of Law and Order. Respondent acknowledges that he understands he has the right to consult with legal counsel regarding this matter and has done so or chooses not to do so.
- 2. Respondent understands that by entering into this Consent Agreement for the issuance of the foregoing Order, he voluntarily relinquishes any rights to a hearing or judicial review in state or federal court on the matters alleged, or to challenge this Consent Agreement and the Order in its entirety as issued by the Board, and waives any other cause of action related thereto or arising from said Order.
- Respondent acknowledges and understands that this Consent Agreement and the Order will not become effective until approved by the Board and signed by its Executive Director.
- 4. All admissions made by Respondent are solely for final disposition of this matter and any subsequent related administrative proceedings or civil litigation involving

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the Board and Respondent. Therefore, said admissions by Respondent are not intended or made for any other use, such as in the context of another state or federal government regulatory agency proceeding, civil or criminal court proceeding, in the State of Arizona or 3 eny other state or federal court.

- Respondent acknowledges and agrees that, although the Consent Agreement has not yet been accepted by the Board and issued by the Executive Director, upon signing this agreement, and returning this document (or a copy thereof) to the Board's Executive Director, Respondent may not revoke his acceptance of the Consent Agreement and Order. Respondent may not make any modifications to the document. Any modifications to this original document are ineffective and void unless mutually approved by the parties.
- Respondent further understands that this Consent Agreement and Order, 6. once approved and signed, shall constitute a public record document that may be publicly disseminated as a formal action of the Board.
- If any part of the Consent Agreement and Order is later declared void or 7. otherwise unenforceable, the remainder of the Order in its entirety shall remain in force and effect.

Reviewed and accepted this

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Reviewed and approved as to form this _______ay of _____

Klonberly Kent, Attorney at Law (Councel For pr. Brent Sanders)

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24 25 , 2002.

FINDINGS OF FACT

- 1. The Board is the duly constituted authority for the regulation and control of the practice of allopathic medicine in the State of Arizona.
- 2. Respondent is the holder of License No. 6754 for the practice of medicine in the State of Arizona.
- 3. The Board initiated case number MD-01-0066 after receiving notification of a medical malpractice settlement involving Respondent's care and treatment of a 39 year-old male patient ("Patient").
- 4. On March 11, 1997, Patient, who had long history of sinus symptoms and headaches, presented to Respondent for an evaluation of sinus problems. Respondent recommended surgery to correct the problems.
- 5. On March 14, 1997, Respondent performed surgery on Patient. The surgery included septoplasty, sinus endoscopy with ethmoid and maxillary sinusectomies and nasal antral windows, right nasal polypectomy and cryopexy of he anterior portion of the inferior nasal turbinates.
- 6. On March 17, 1997, Respondent was notified that post-surgery pathology reports indicated that sinus mucosa in the submitted specimens showed moderate chronic inflammation and edema. The right sample also contained a fragment of brain tissue and the left sample contained a large nerve.
- 7. Respondent, during his March 6, 2002, formal interview, stated that he notified Patient of the findings of the pathology report and also described in detail the risks associated with cerebral spinal fluid leak to the patient.
- 8. There is no documentation in Patient's chart to support Respondent's claim that he notified Patient of either the pathology report or the importance of looking for the CSF leak. Medical records of the post-operative visits noted no CSF leakage. Also, in

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his complaint, Patient indicated that on each post-operative visit with Respondent Patient complained of watery fluid leaking from his nose.

- 9. From April 8, 1997, to October 21, 1997, several physicians evaluated Patient. However, none of the physicians made a diagnosis regarding a CSF leak.
- 10. Patient continued to have sinus problems and sought treatment from a second physician ("Physician #2") who recommended revision sinus surgery. At that time Physician #2 did not make any diagnosis referencing a CSF leak.
- 11. On January 19, 1998, Physician #2 performed surgery on Patient. Physician #2 noted considerable scarring from prior surgery, particularly in the roof of Patient's nasal-ethmoid area, and, on the right side, found a defect in the roof with considerable leakage of CSF.
- 12. Thereafter, Physician #2 terminated the surgery and recommended Patient be evaluated further by neurosurgical specialists. Thereafter, Patient underwent further radiographic studies that indicated a defect (hole) in the right posterior cribiform plate. A third physician, Physician #3, performed a craniotomy to repair the CSF leak on January 24, 1998.
- 13. Respondent's operative notes do not describe or mention the proper landmarks for performing endoscopic sinus surgery.
- 14. There is no documentation in Patient's chart to support Respondent's claim that he notified Patient of either the pathology report or the importance of looking for the CSF leak.
- 15. The hole in the cribiform plate noted by Physician #3 is in an area that should not have been exposed during the surgery performed by Respondent. All of the surgery should have been lateral to the attachment of the middle turbinate.

- 16. The operative report prepared by Respondent does not support the testimony Respondent offered at the formal interview.
- 17. It is an acceptable complication of endoscopic sinus surgery to occasionally perforate the roof of the ethmoid sinus. However, it is not an acceptable complication to then remove brain tissue and fail to inform the patient of this occurrence.
- 18. Respondent testified that his operative note was inadequate. Respondent testified that he has changed his practice to include more extensive surgical notes and more thorough notes of conversations with patients.

CONCLUSIONS OF LAW

- The Board possesses jurisdiction over the subject matter hereof and over Respondent.
- 2. The conduct and circumstances above in paragraphs 13 through 17 constitute unprofessional conduct pursuant to A.R.S. § § 32-1401 (25)(q) "[a]ny conduct or practice which is or might be harmful or dangerous to the health of the patient or the public;" and 32-1401(25)(II) "[c]onduct that the board determines is gross negligence, repeated negligence or negligence resulting in harm to or the death of a patient."

ORDER

IT IS HEREBY ORDERED THAT:

- 1. Respondent is issued a Letter of Reprimand for committing a serious surgical error; for failure to document the operative procedure in sufficient detail; and for failing to document that he advised the patient of the problem; and
- 2. Respondent is placed on Probation for two years with the following terms and conditions:
- (a) Respondent shall not perform any endoscopic sinus surgery until he provides satisfactory evidence to the Board that he has had additional training or CME

that indicates he is competent to perform endoscopic sinus surgery and receives the Board's permission to do so. Respondent need not meet with the Board, but the Board will review any evidence submitted by Respondent.

- (b) Respondent shall within six months of the effective date of this Order, obtain 15 hours of Board staff pre-approved Category I Continuing Medical Education (CME) in medical record keeping. Respondent shall provide Board staff with satisfactory proof of attendance. The CME hours shall be in addition to the hours required for biennial renewal of Respondent's medical license.
- (c) During the period between the Board's action at the completion of the formal interview and the effective date of this order Respondent did not perform endoscopic sinus surgery. Respondent has provided the Board with proof of completed CME that indicates that Respondent is competent to perform endoscopic sinus surgery. Based on this information the Board finds that Respondent may resume performing endoscopic sinus surgery.
- 3. Respondent shall be subject to a chart review to be conducted by Board staff or its agents within six months of the completion of the medical record keeping CME. Based upon the chart review, the Board retains jurisdiction to take additional disciplinary or remedial action.
 - 4. This Order is the final disposition of case number MD-01-0066.

DATED this 2 md day of May, 2002.



BOARD OF MEDICAL EXAMINERS OF THE STATE OF ARIZONA

CLAUDIA FOUTZ Executive Director

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2	ORIGINAL of the foregoing filed this day of \(\sum_{\text{NY}}\), 2002 with:
3	The Arizona Board of Medical Examiners
4	9545 East Doubletree Ranch Road
5	Scottsdale, Arizona 85258
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7	Executed copy of the foregoing
8	mailed by U.S. Certified Mail this 3th day of \(\frac{\fir}{\frac
9	Kimberly Kent
10	Kent & Wittekind PC
11	40 North Central Avenue Suite 1400
12	Phoenix, Arizona 85004-4441
13	Executed copy of the foregoing mailed this 3002, to:
14	Brent Sanders, M.D.
15	1520 South Dobson Road Suite 310
16	Mesa, Arizona 85202-4727
17	Copy of the foregoing hand-delivered this
18	375 day of <u>May</u> , 2002, to:
19	Christine Cassetta Assistant Attorney General
20	Sandra Waitt, Management Analyst Lynda Mottrám, Compliance Officer
21	Investigations (Investigation File) Arizona Board of Medical Examiners
22	9545 East Doubletree Ranch Road Scottsdale, Arizona 85258
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